Household survey to estimate the seroprevalence of SARS-CoV-2 infection in the city of São Paulo, Brazil

Pilot study conducted in the São Paulo neighborhoods of Pari, Belém, Água Rasa, Morumbi, Bela Vista, and Jardim Paulista between May 4 and 12, 2020

Preliminary Results

Authors: SARS-CoV-2 Mapping Group (authors and affiliations on the last page)







Executive Summary

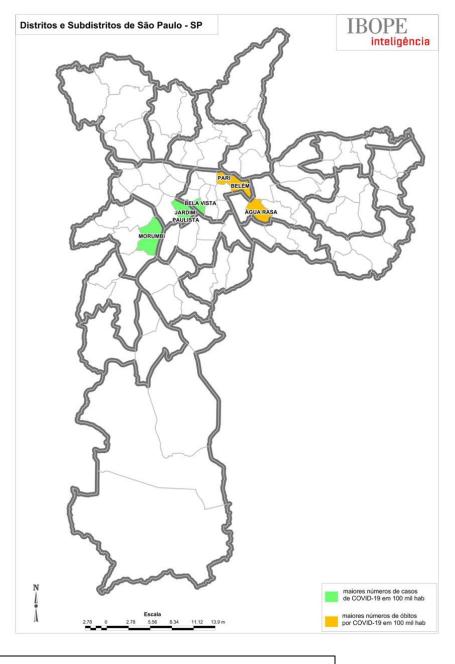
- 1,152 households were randomly selected in the São Paulo neighborhoods of Pari, Belém, Água Rasa, Morumbi, Bela Vista, and Jardim Paulista.
- Venous blood samples were collected from randomly selected residents of each of the 296 households that participated in the study.
- Venous blood samples were also collected from 224 cohabitants.
- In total, 520 samples were collected and analyzed.
- Antibodies against the SARS-CoV-2 virus was detected In 27 individuals:
 - 16 individuals among 296 residents drawn (5.41%)
 - 11 individuals among 224 cohabitants (4.91%)
- 5.19% is the preliminary estimate of the inhabitants of these six neighborhoods who have already had contact with the virus.
- Of the 352,428 inhabitants of these neighborhoods, 18,299 have already been infected with SARS-CoV-2, which corresponds to 5,192 infected people per 100,000 inhabitants.
- The number of people already infected in the general population of these neighborhoods is 11.9 times greater than the number of cases confirmed by the epidemiological monitoring of the city of São Paulo.
- Up until May 11, 175 deaths were recorded as caused by COVID-19 in these six neighborhoods. The apparent lethality of the infection is 0.95%.

Methods: District selection

The 3 neighborhoods with the highest number of confirmed COVID-19 cases per 100,000 inhabitants and the 3 neighborhoods with the highest number of deaths per 100,000 inhabitants in the municipality of São Paulo according to data from the municipality's epidemiological monitoring released on April 23, 2020*

Neighborhoods	Population (IBGE** 2010)	No. cases Updated 04/23***	No. cases per 100k inhab.	No. deaths Updated 04/23	No. deaths per 100k Inhab.	Social vulnerability index
Cases						
Morumbi	46.957	331	705	7	15	1.34
Bela Vista	69.460	234	337	11	16	1.49
Jardim Paulista	88.692	239	269	12	14	1.01
Deaths						
Pari	17.299	18	104	8	46	2.57
Belém	45.057	66	146	18	40	2.14
Água Rasa	84.963	89	105	37	44	1.93

^{*}Brazilian Institute of Geography and Statistics.



^{*} https://www.prefeitura.sp.gov.br/cidade/secretarias/upload/saude/PMSP_SMS_COVID19_Boletim%20Semanal_20200417_atualizado.pdf

^{***}Confirmed and suspected cases.

Methods: Sampling plan

- The target population is people aged 18 or over on the date of the interview.
- The size of the planed sample will allow a prevalence greater than 4% to be estimated with coefficients of variation below 30%, taking into account the complex delineating effects of the sampling.
- The maximum sampling error (semi-amplitude of the confidence interval), corresponding to the 5% prevalence will be 2.7, indicating a 95% confidence interval ($Cl_{95\%}$) of 2.3% 7.7%. The coefficient of variation is 27.6%.

Methods: Selection of individuals

- A probabilistic sampling was used, by clusters, in three stages: census sector, home and resident.
- In the first stage, 72 census sectors will be drawn by systematic sampling with probability proportional to size, with the number of households being used as a measure of household size, according to the 2010 IBGE Census. Sectors were ordered by district for the drawing.
- Sixteen (16) permanent private households were selected in the second stage in each census sector. A complete and updated register of every household that exists within the neighborhoods was established in this phase.
- In the third selection stage, one resident was drawn. To accomplish this, every resident eligible for the interview was listed and one of them was randomly drawn using an internal program on a tablet.
- The other residents of the household were offered the opportunity to take a blood test and give an interview.

Methods: Home visit

- A technician from Grupo Fleury and a researcher from IBOPE Inteligência approached the households with special attire and with PPE (personal protective equipment). The selected residents were invited to voluntarily participate in the research.
- The individuals from the drawing were informed about the details of the research and received a pamphlet containing this information.
- Participants who agreed to participate, signed an Free and Informed Consent Form.
- Participants answered a questionnaire (see at the end) and had a venipuncture blood sample collected.
- The same procedure was used for other members of the household who showed interest in taking the test.
- The participants were advised on how they would receive the results (mail and internet).
- The visit was closed.

Methods: Laboratory testing

- The quantification of antibodies against SARS-CoV-2 was performed by CLIA (chemiluminescence) methodology, using the MAGLUMI 2000 PLUS equipment, and the "MAGLUMI IgM 2019-nCoV (CLIA)", and "MAGLUMI" 2019 IgG-nCoV (CLIA)" kits, all from Snibe Diagnostics.
- The participants' serum samples were incubated with magnetic microspheres coated by specific monoclonal antibodies. After magnetic-field-induced precipitation, ABEI (non-enzymatic nanomolecule) labeling was performed with anti-IgM and anti-IgG human antibodies. After magnetic-field-induced precipitation, *starters 1+2* were added to initiate a chemiluminescence reaction. The light signal was then measured by a photomultiplier as relative light units (RLUs), which are proportional to the concentration of anti-SARS-CoV-2 IgM and IgG in the sample.
- The samples were analyzed in a single batch to minimize experimental variations
- The results were calculated automatically using calibration curves. IgM and IgG values greater than 1.0 and 1.1 AU/mL, respectively, were considered positive; results below 0.7 and 0.9 AU/mL, negative; and values equal to or within the mentioned values were considered indeterminate.

Methods: Laboratory test sensitivity

- The characteristics of the tests used to detect IgG and IgM have been described in the following references.
- The tests used show a sensitivity> 99.5% nineteen days after the appearance of the first symptoms of COVID-19.
- The sensitivity is 60% between the 5^{th} and 7^{th} day after the first symptoms and rises to 90% between days 11 and 13.
- The tests identify asymptomatically infected people.
 - Jin Y, et. al. Diagnostic value and dynamic variance of serum antibody in coronavirus disease 2019, International Journal of Infectious Diseases (2020), https://doi.org/10.1016/j.ijid.2020.03.065
 - Quan-Xin Long et al. Antibody responses to SARS-CoV-2 in patients with COVID-19.
 Nature Medicine (2020) https://doi.org/10.1038/s41591-020-0897-1

Results: Epidemiological data from the selected neighborhoods on the days of collection

On May 11, 2020 (the day before the end of the collection), data from the São Paulo Municipal Health Department indicated that the number of confirmed cases and the number of deaths in the selected neighborhoods increased 1.57 times and 1.88 times, respectively, as compared to the data for April 23.

Epidemiological data of May 11, 2020

Neighborhoods	Population (IBGE 2010)	No. cases Updated 05/11*	No. cases per 100k Inhab.	No. deaths Updated 05/11	No. deaths per 100k Inhab.	Social vulnerability index
Cases						
Morumbi	46.957	594	1.265	18	38	1.34
Bela Vista	69.460	333	479	22	32	1.49
Jardim Paulista	88.692	389	439	28	32	1.01
Deaths						
Pari	17.299	19	110	16	92	2.57
Belém	45.057	79	175	37	82	2.14
Água Rasa	84.963	121	142	54	64	1.93

^{*} Confirmed and suspected cases

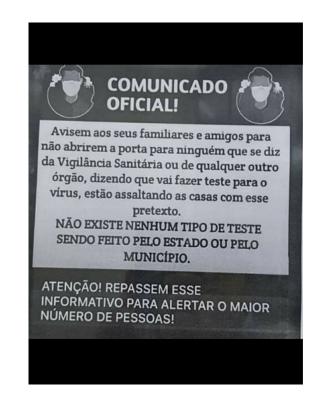
Results: Difficulties encountered in the fieldwork

The research protocol provided for the collection of data and blood from 500 people in 4 days in the field with 30 mixed teams (a technician from Fleury and a professional from IBOPE). We had difficulties attaining that number, so we extended the fieldwork to 8 days, being 2 days using one-third of the team.

In total 520 blood samples were collected, 294 from residents and 217 from cohabitants.

Problems:

- 1. Household residents refusing to receive the teams, difficulty reaching the residents the households due to the doormen or building managers denying our access.
- 2. Rumors and Fake News hindered the research.



The results of the 520 tests are now available for consultation by participants and cohabitants using an individual password on the Fleury group website.

Results: Gross numbers

Population of the six neighborhoods (IBGE 2010)	Number of participating households	Number of people tested	Number of people with antibodies	% of people tested who have antibodies	Estimated number of people with antibodies in the six neighborhoods	Number of cases recorded by epidemiological monitoring	% of those infected who did not enter into official statistics
352,428	296	520	27	5.19%	18,299	1,535	91.6%

- SARS-CoV-2 antibodies were detected in 16 of the 296 parliamentary residents.
- SARS-CoV-2 antibodies were detected in 11 of the 224 cohabitants.
- Antibodies were detected in 27 of the 520 individuals tested.
- There are approximately 18,299 people in these six neighborhoods who had already had contact with the virus.
- We estimate that 91.6% of cases of infection are not covered by official statistics.

Note: 10 out of 520 samples (1.92%) had indeterminate results (see methods) and for the purposes of this analysis were considered negative

Discussion: Seroprevalence of SARS-CoV-2 infection in the city of São Paulo, Brazil

- 5.19% of the inhabitants of the six neighborhoods had already had contact with the SARS-CoV-2 virus.
- It is difficult to extrapolate this estimate to the entire population of the city of São Paulo given the heterogeneity of the districts.
- The districts studied were selected because they have the highest rates of cases and deaths. Therefore, it is reasonable to expect that in the municipality of São Paulo as a whole, seroprevalence will be lower.
- 91.6% of individuals already infected with the virus are not aware of the epidemiological monitoring system due to the lack of tests.
- The apparent lethality of the infection was estimated at 0.95%. This is explained by the fact that 91.6% of infected individuals are not included in the calculation of lethality.

References

- Kish, L. Survey Sampling. New York: Wiley, 1965.
- United Nations. Designing Household Survey Samples: Practical Guidelines. New York: United Nations, 2005.
- Silva, N.N. Amostragem probabilística: um curso introdutório (3a Ed). São Paulo: EDUSP 2015
- Cochran, W. G. Sampling techniques (3rd ed.). New York: John Wiley & Sons, 1977
- Jin Y, et. al. Diagnostic value and dynamic variance of serum antibody in coronavirus disease 2019, International Journal of Infectious Diseases (2020), doi:hpps://doi.org/10.1016/j.ijid.2020.03.065
- Quan-Xin Long et al. Antibody responses to SARS-CoV-2 in patients with COVID-19. Nature Medicine (2020) hpps://doi.org/10.1038/s41591-020-0897-1

SARS-CoV-2 Mapping Group

- Dr. Beatriz HC Tess, College of Medicine, University of São Paulo
- Dr. Maria Cecília Goi Porto Alves, State Secretary of Health (São Paulo)
- Dr. Fernando Reinach

This study was financed by Instituto Semeia, Grupo Fleury, and IBOPE Inteligência

- Dr. Celso F.H. Granato, Grupo Fleury and UNIFESP
- Dr. Edgar Gil Rizzati, Grupo Fleury
- Dr. Maria Carolina Pintão, Fleury Group
- Marcia Cavallari Nunes, IBOPE Inteligência

We would like to thank: Pedro Luiz Barreiros Passos, Guilherme Passos, Carlos Marinelli, Arthur Hernandez, Aline Resende, Diego Freitas, Fernando Pieroni, Joice Tolentino, Stefanie Silva, Vera Alves Frascino, William Malfatti, Rosi Rosendo, Helio Neves e David Uip.

The Fleury and IBOPE team that worked on the project are listed below

Fleury Team

- · Adalberto Martins Silveira
- Adriana De Fátima Batista Rodrigues
- Agata Torres
- Alessandra Brizido
- Alessandra Dellavance Andrade;
- Alessandra Maldonado
- Ana Carolina Sarpi Martinez
- Andrea De Freitas Fontes
- Andreia Dantas Araujo
- Andréia De Moura Araujo
- Andreia Silva Santos
- Angela Soares De Anchieta
- Angelica Alves Da Silva
- Aroline Fagundes Bretas Ribeiro
- Brenno Nunes De Lima
- Camila De Lima
- Carlane De Almeida Zacarias
- Carolina De Aguiar Garces
- Carolina Dos Santos Lazari
- Caroline Araujo
- Cristiane Eduarda Rodrigues
- Damiesca Vieira Castro
- Daniella M. Bahia Kerbauy

- Danielle Cristiane Baldo;
- Jeane Tsutsui
- Maria Carolina
- Eliana Mori Penati
- Ellen Sayuri Chinen
- Felinto Maia Neto
- Ferdinando De Souza Ferreira
- Fernanda Maria Miyamoto Barreto
- · Fernanda Picchi Garcia
- Flavia Amanda Costa Barbosa
- Flavia Cristina De Oliveira
- Flavia Silva
- Graziella Rodrigues De Souza
- Gustavo Stuani
- Helena Sandes Gonçalves
- Hellen Nunes
- Ingrid Viana
- Jefferson Andreati
- Jessica Barbosa Dos Santos
- Jorge Luiz Mello Sampaio
- Joyce De Brito Pupo

- Julia Kaneto Oliverio
- Juliane De Oliveira Cazarin Paulo
- Karina Jones
- Kelly Cristina Valeriano
- Liliane Da Silva Moura
- Loren Raquel De Moraes Assis
- Lucia Sivieri De Assis Rocha
- Luiz Henrique Barbosa Filho
- Maila Soraia Barbosa Do Nascimento
- Marcelo Jenne Mimica
- Maria Beatriz N. Hadler
- Maria Do Socorro Pinheiro
- Maria Luisa Pedalino Pinheiro
- Maria Madelaine
- Milene Sanda
- Nayara Pessoa
- Octavio Augusto Bedin Peracchi
- Paola Cappellano Daher
- Patricia Aparecida Regina
- Patricia Debora Cavalcanti Tosta Hernande
- Patricia Marques Campos
- Priscila Tobias

- · Renata Ricci De Oliveira
- Sabrina Cesar De Souza
- Sara Cobianchi
- Simone Aparecida SanKago
- Sonia Siciliano
- Stella Dias
- Stephanie Dos Santos Almeida
- Suellen Narimatsu;
- Suely Silveira
- Tainah Da Costa Muniz
- Tatiana Antonio De Sousa
- · Tavani Pires
- Yago Marinho Osorio
- Patricia de Fatima dos Santos Monteiro
- Thais Leandra Siems Silva

Ibope Team

- Taís Magalhães
- Guilherme Militão
- · Erivaldo de Pietri
- Gisele Oliveira
- Umberto Pereira
- Fernando Sá
- Claudia Fernandez
- Paula Yamakawa
- Bruna Suzzara
- Tânia Pinheiro
- Sandro Moraes
- Gustavo
 Provinciato
- Rita De Cássia
- Lennon Carvalho
- Joice Ribeiro
- Camila Magano
- Fabiana Vidal
- Wagner Luiz
- Claudia Cristina

- Ednea Pires
- Josival Lopes
- Virginia Alves
- Vivaine Camargo
- Ana Carla Ferrari Kraljevic
- Audrey Caroline
- Rita Barreto
- Jaqueline Aparecida
- José Roberto
- Wagner Luiz
- Claudia Cristina
- Ednea Pires
- Luciano Martins
- Silvia Maria
- Josival Lopes
- Renata Landi
- Fanny Cabanas
- Maria Das Graças
- Marcelo Palma
- Monica Rosário

- Valmir Sares
- Selma Ferreira
- Ana Paula
- Felipe Francisco De Souza Suárez
- Jorge Eduardo Rodrigues
- Aylla Cintia De Freitas Andrade
- Robinson Prado
- Djalma Evangelista Dos Santos Junior
- · Cássia Cilene Da Rocha Lima
- Maria Solange Vieira Do Carmo
- Fernanda Luciara Dos Santos Martins
- Amanda Sabrina Diniz De Souza
- Edmilson João Dos Santos
- Ana Carla Ferrari Kraljevic
- Solange Marinho Dos Santos
- Maria Elisangela Martins Santos
- Alessandra Silva De Souza
- Angela Maria Da Silva
- Paulo Marcio dos Santos
- Flávia Da Silvia Bernadino
- Gabriela Meira Coutinho

- Rute De Almeida
- Joao Luiz De Prizio
- Eliane Das Neves Tavares
- Paulo Henrique De Jesus
- Edmilson Da Silva Zumba
- Eunice Russo Venancio
- Iara Silvia Coste
- Yasmin Mansur Ksyat
- Luiz Das Graças Dias Filho
- Cirlene Soares De Oliveira Meireles
- Silvia Regina V De Almeida

Appendix: Applied Questionnaire

ELECTRONIC QUESTIONNAIRE

JOB 200324	SÃO PAULO OVID-19 IMMUNITY	1,152 HOUSEHOLDS	4/27/2020
IBOPE inteligência	AVENIDA FRANCISCO MATARAZZO 1350 – 8º ANDAR SÃO PAULO		

NEIGHBORHOOD:
SECTOR:HOUSEHOLD:
Number of household residents:
(SEX) (1) Male (2) Female
(AGE) What is your exact age? YEARS
(BIRTHDAY) What is your date of birth?//
(EDUCATION) What was the last year of school you completed? $\underline{\hspace{-0.1cm}}$ grade/year. Level: () K- 8 () high school () college
P7. And what is the highest grade completed in the residence?grade/year. Level: () K-8() high school () college
9. In the past two weeks to date, have you had:
a. Fever with temperature above 37.5°C? (1) Yes (2) No (8) Don't remember (SPONT) (9) Didn't answer (SPONT) (If yes) How many days ago did the fever start? days (98) Don't remember (SPONT) (99) Didn't answer (SPONT) And how many days did the fever last? days (97) Still feverish (SPONT) (98) Don't remember (SPONT) (99) Didn't answer (SPONT)
b. Have you felt intense fatigue? (1) Yes (2) No (8) Don't remember (SPONT) (9) Didn't answer (SPONT) (If yes) How many days ago did the intense fatigue start? days (98) Don't remember (SPONT) (99) Didn't answer (SPONT)
And for how many did the intense fatigue last? days (97) Still feverish (SPONT) (98) Don't remember (SPONT) (99) Didn't answer (SPONT)
c. Have you had body pain or aches? (1) Yes (2) No (8) Don't remember (SPONT) (9) Didn't answer (SPONT) (If yes) How many days ago did the body pain or aches start? days (98) Don't remember (SPONT) (99) Didn't answer (SPONT) And how many days did the body pain or aches last? days (97) Still feverish (SPONT) (98) Don't remember (SPONT) (99) Didn't answer (SPONT)
d. Have you had a sore throat? (1) Yes (2) No (8) Don't remember (SPONT) (9) Didn't answer

(SPONT) (If yes) How many days ago did the sore throat start?

ELECTRONIC QUEST	IONNAIRE		
days (98) Don't re	emember (SPONT) (99) [Didn't answer	
And how many	days did the sore throat liverish (SPONT) (98) Don't		(99) Didn't answer (SPONT)
e. Have you had a coug (1) Yes (2) No (8) Don't (SPONT)	h? remember (SPONT) (9) I	Didn't answer	
(If yes) Did the cough p	roduce phlegm? remember (SPONT) (9) I	Didn't answer	
` How many day	s ago did the cough start? emember (SPONT) (99) D		
And how many	days did the cough last? verish (SPONT) (98) Don't	t remember (SPONT)	(99) Didn't answer (SPONT)
(SPONT)	remember (SPONT) (9) [Didn't answer	
(If so) Was your nose s (1) Yes (2) No (8) Don't (SPONT)	remember (SPONT) (9) I	Didn't answer	
` For how many	days have you had diffic tremember (SPONT) (99		
	any did the difficulty breatl verish (SPONT) (98) Don't	hing last?	(99) Didn't answer (SPONT)
(SPONT)	remember (SPONT) (9) I		
days (98) Don't re (SPONT)	s ago did the diarrhea star emember (SPONT) (99) [Didn't answer	
	days did the diarrhea last verish (SPONT) (98) Don't		(99) Didn't answer (SPONT)
	d a loss of taste/difficulty remember (SPONT) (9) I)
	s ago did the loss of taste emember (SPONT) (99) [
And for how ma	any days did the loss of ta verish (SPONT) (98) Don't		(99) Didn't answer (SPONT)
i. Have you experienced (1) Yes (2) No (8) Don't	d a loss of smell? remember (SPONT) (9) I	Didn't answer (SPONT)
	s ago did the loss of smell emember (SPONT) (99) D		
And for how ma	any days did the loss of sr verish (SPONT) (98) Don't		(99) Didn't answer (SPONT)
	rose? remember (SPONT) (9) I	Didn't answer	
	s ago did the runny nose s emember (SPONT) (99) [
(SPONT) And how many	, , , ,		

ELECTRONIC QUESTIONNAIRE

runny nose last?

Page 1 of 3

Lectronic QuestionNaire

_____ days (97) Still feverish (SPONT) (98) Don't remember (SPONT) (99) Didn't answer (SPONT)

P10. Have you ever been diagnosed with the coronavirus or COVID-19 infection?

(1) Yes (2) No (8) Don't remember (SPONT) (9) Didn't answer (SPONT) (If so) When was the diagnosis confirmed? ∠/2020

P11. Do you do any work in the healthcare area?

(1) Yes (2) No (8) Don't remember (SPONT) (9) Didn't answer (SPONT) (9) Control (1) Policy (1)

Did not answer (SPONT)

Page 2 of 3